Formation of aggregates, icosahedral structures and percolation clusters of fullerenes in lipids bilayers: The key role of lipid saturation

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A B S T R A C T

Carbon nanoparticles (CNPs) are attractive materials for a great number of applications but there are serious concerns regarding their influence on health and environment. Here, our focus is on the behavior of fullerenes in lipid bilayers with varying lipid saturations, chain lengths and fullerene concentrations using coarse-grained molecular dynamics (CG-MD) simulations. Our findings show that the lipid saturation level is a key factor in determining how fullerenes behave and where the fullerenes are located inside a lipid bilayer. In saturated and monounsaturated bilayers fullerenes aggregated and formed clusters with some of them showing icosahedral structures. In polyunsaturated lipid bilayers, no such structures were observed: In polyunsaturated lipid bilayers at high fullerene concentrations, connected percolation-like networks of fullerenes spanning the whole lateral area emerged at the bilayer center. In other systems only separate isolated aggregates were observed. The effects of fullerenes on lipid bilayers depend strongly on fullerene aggregation. When fullerenes aggregate, their interactions with the lipid tails change.

1. Introduction

Carbon nanoparticles (CNPs) have been extensively used in various applications such as nanoelectronics, energy, optics cosmetics and nanomedicine [1–4]; particular examples include drug delivery, bioimaging and biosensing [3,5]. The amount of fullerenes in the environment is also increasing as a result of industrial processes and emission from natural sources, and they are also released as combustion products of fuel engines and heating plants [6,7]. Thus, the possible health and environmental effects of carbon nanoparticles are a growing concern [8–11].

Previous studies of fullerenes on the effects of fullerenes on biological activities have revealed both positive and negative impacts depending upon many factors [12–17]. The uptake of C60 by human macrophage cells and the resulting aggregation within the cytoplasm, lysosomes and cell nuclei have been visualized using electron microscopy [18]. Additionally, it is remarkable that fullerenes can enter through outer cell membranes, and in some cases, penetrate into the cellular nuclei [19–21]. Ikeda et al. [22] reported that fullerenes caused no observable changes in membrane stability. Although many studies [22–24] have demonstrated that fullerenes at low concentrations have no significant effects on the physical properties of lipid bilayers, the question whether pristine fullerene physically damages lipid bilayers remains.

A number of studies [23,25] show that fullerenes neither aggregate inside lipid bilayers nor cause physical damage. On the other hand, at high concentrations several experimental and computational studies have shown that fullerenes aggregate and may be harmful to biological membranes [25–32] and it has also been shown that fullerenes may damage cationic membranes while anionic membranes remain intact [33]. Even the deceivingly simple question of whether fullerenes aggregate inside membranes remains controversial. Considering the fact that the membrane thickness is of the order of a few nanometers and the average distance between neighboring lipid molecules is in the sub-
nanometer length scale, the aggregation mechanisms inside membranes cannot be easily investigated. Despite obvious practical interest, no dedicated studies focusing on the interactions of fullerenes with realistic models of eukaryotic cell membranes have been performed to date.

To the best of our knowledge, most computational studies of fullerenes in lipid membranes deal with single component lipid bilayers. Our previous computational studies [25] show that fullerene aggregation and its physical mechanisms depend on the degree of lipid unsaturation. Open questions include if the position(s) and the number of double bonds are important in fullerene-lipid interactions. Such knowledge is a necessary pre-requisite for the development of better predictive models for real biological membranes and studies of more realistic multicomponent membranes.

In this study, we performed CG-MD simulations to investigate the physical mechanisms of fullerene aggregation by varying the concentration of fullerenes and the types of lipid bilayers. The results show the dispersion and aggregation of fullerenes depends both on degree and position(s) of lipid unsaturation(s). Aggregation was analyzed to explain the potentially harmful effects on biological membranes. This provides knowledge of which features of fullerene aggregation inside membranes determine toxic responses, as well as help with the identification of the corresponding toxicity.

2. Methodology

2.1. MD simulation

We performed CG-MD simulations to study fullerene behavior inside lipid bilayers and the effect of fullerenes on lipid membranes. Seven types of phospholipids displaying different number double bonds and tail lengths were used. Figs. 1A and S1: 1,2-dilauroyl-sn-glycero-3-phosphocholine (DLPC), 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC), 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC), 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC), 1,2-divaleryl-sn-glycero-3-phosphatidylcholine (DVPC), 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC) and 1,2-dioleoyl-sn-glycero-3-phosphocholine (DFPC). Of the above, DLPC (12–14:0), DPPC (16–18:0) and DSPC (20–22:0) are saturated with different chain lengths, whereas DOPC (16–18:1), DUPC (16–18:1), DUPEC (16–18:2) and DFPC (16–18:3) are unsaturated with different number of double bonds. Although DOPC and DVPC have the same chain lengths and number of double bonds, the locations of double bond in DOPC and DVPC are different. The MARTINI force field version 2.1 [34,35] was applied for lipids and water. The coarse-grained fullerene model (F16) which represents the C60 molecule was taken from the latest version [36]. The fullerene model consists of 16 beads and reproduces the atomistic potential of mean force (PMF) profile of transferring a fullerene across lipid membrane [36]. The systems contained 512 lipid molecules and 16,000 CG water beads. Fullerenes were randomly placed above the lipid bilayers with fullerene/lipid ratios of 0%, 5%, 10%, 20%, 30% and 40%. The details of all simulations are shown in Table S1. Simulations were performed under the NPT ensemble using the GROMACS 4.5.5 package [37]. The temperature was kept at 298 K using the Parrinello−Donadio−Bussi velocity rescale algorithm [38,39] with a time constant of 1.0 ps. The Parrinello−Rahman algorithm [40] with semi-isotropic coupling was used for keeping pressure constant at 1 bar with a time constant 5.0 ps and compressibility of $4.5 \times 10^{-5}$ bar$^{-1}$. A cut-off distance of 1.2 nm was employed for non-bonded interactions. The Lennard-Jones interactions were shifted to zero between 0.9 and 1.2 nm and the Coulomb potential was shifted to zero between 0 and 1.2 nm. To remove possible molecular overlaps that may have occurred during the setup, the systems were first subjected to energy minimization using the steepest descent method. The simulations were run for 20–40 μs with a 20 fs time step. The last 10 μs were considered for analysis. Periodic boundary conditions were applied in all three dimensions. The Visual Molecular Dynamics (VMD) software was used for rendering molecules [41]. The MDAnalysis [42,43] and NetworkX [44] python libraries were used for analysis.

![Saturated lipids](image1)

![Unsaturated lipids](image2)

Fig. 1. (a) The MARTINI coarse-grained (CG) models of fullerene and various lipid types. Green corresponds to apolar beads in the lipid tails (normal: saturated carbons, dark: double bonds). Red, orange, yellow and white beads represent fullerene, choline, phosphate and glycerol groups, respectively. Saturated lipids are DLPC (12–14:0), DPPC (16–18:0), and DSPC (20–22:0). Unsaturated lipids are DOPC (16–18:1), DVPC (16–18:1), DUPEC (16–18:2) and DFPC (16–18:3). (b) DLPC and DFPC bilayers with fullerene concentration of 40% after 20 microseconds. Water molecules are not shown for clarity. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)
2.2. Potential of mean force (PMF)

To calculate the PMF for a single fullerene transferring through a lipid bilayer, the umbrella sampling technique with the Weighted Histogram Analysis Method (WHAM) [45,46] was applied. DLPC, DSPC and DFPC bilayers at two fullerene concentrations (0% and 40%) were studied. The initial structures were taken from the last frames of the unbiased simulations. The distance between the center of mass of the fullerene and the bilayer was restrained in the z-direction with a harmonic potential force constant 1000 kJ mol$^{-1}$. A single fullerene was initially placed in the water phase (at $z = 4.5$ nm) and pulled to the center of the bilayer ($z = 0$ nm) with 0.1 nm increment. All simulations were performed under the NVT ensemble at 298 K for the total time of 46 μs (1 μs per each window). Error analysis was done with the bootstrapping analysis method [46] for complete histograms.

3. Results

3.1. Fullerenes’ locations inside the bilayers

Previous studies of fullerene permeation mechanisms into bilayers have established that the energy barrier for a fullerene entering into a lipid bilayer is negligible (i.e. less than $k_B T$) [23,47]. Our simulations show that once fullerenes enter a bilayer, they stay inside it as seen in Figs. 1, S2 and S3. Interestingly, our simulations also show that the final location of fullerenes inside a bilayer depends strongly on fullerene concentration.
3.2. Fullerene aggregation inside lipid bilayers

The contradiction whether fullerenes form cluster(s) inside lipid bilayer has been discussed for a decade. In 2007, Porter et al. demonstrated that fullerenes (C60) aggregate in plasma membranes by using electron microscopy techniques [18]. However, the recent study of Zhou et al. [49] used atomic force microscope (AFM), laser light scattering (LLS) and Fourier-transform infrared spectroscopy (FTIR), and found that fullerenes dispersed in saturated bilayer. The explanation behind these observations is unclear as used different techniques and bilayer types were used. The situation is even more complicated when looking at computational studies. Current studies support both aggregation and dispersion of fullerenes in bilayer depending on fullerene concentration, fullerene cluster size in aqueous solution and lipid bilayer composition [23–25,29,32,48,50–57]. To-date, there was no systematic study investigating this mysterious. Barnoud et al. [58] studied POPC lipids at the fullerene concentration of 18% and stated “The higher density allows a higher number of solute-solvent dispersion interactions, lowering the system energy and potentially favoring dispersion.” In our previous publication [25], we verified our results against those of Barnoud et al. [58] and found excellent agreement. In that paper, we computed the free energy and decomposed into the entropic and enthalpic parts. We established that for POPC (as in Barnoud et al. [58]) and DOPC the enthalpic part dominates even at high concentrations whereas in the case of DPPC the enthalpic part (that is, essentially dispersion interactions) dominates up to about 20% of fullerene but at higher concentrations the entropic part takes over. In addition, using atomistic simulations of a C60 and DMPC bilayer, Bedrov et al. [47] computed the free energy for fullerene penetration into the bilayer and came to the conclusions that dispersion interactions rather than hydrophobicity are the key component.

To improve our understanding of fullerene aggregation and dispersion, we performed a series of systematic analyses using seven different bilayers and five fullerene concentrations. We first calculated the C60-C60 center of mass radial distribution function (RDF), Fig. 4. At 10% fullerene concentration, the RDFs are similar in all systems and in agreement with previous studies [25,58]. There are two peaks at about 1 nm and 1.5 nm. When increasing fullerene concentration, the difference in the RDFs of fullerenes in saturated and polyunsaturated bilayer becomes observable. In saturated and monounsaturated bilayers, an additional peak occurring about 1.65 nm was observed at the fullerene concentrations higher than 10%. Furthermore, the peaks at about 2 nm became more pronounced. These peaks represent the optimized distances as demonstrated in Fig. 4. The first peak (at ~1 nm) is the distance between the centers of masses of two fullerenes forming a dimer. It also represents the distance for the nearest neighbors, or first shell, when fullerenes form a cluster. The second peak (at ~1.5 nm) is

![Fig. 4. Fullerene-fullerene radial distribution functions (RDFs) inside the lipid bilayers at different fullerene concentrations (left). Snapshots of representative configurations corresponding to the numbers (right). An additional peak of 1.65 nm was observed at fullerene concentrations higher than 10%.](image)
the configuration when a lipid tail has become inserted between two fullerenes. These two characteristics have been already shown and verified in studies of C60 in ethanol solution [59] and in membranes [25]. The third peak (at ~1.65 nm), according to Ding et al. [60], is the splitting peak of the second peak that implies cluster connections (i.e. second shell). The fourth peak (at ~2 nm) represents the third shell.

It was observed that the C60 molecules formed the so-called Mackay’s icosahedral structure [61] as illustrated in Fig. 5. It is a stack of AB layers where layer A has five-fold symmetry and is formed by five C60 molecules in the same plane and layer B is a single C60 molecule. Because of lipid tails, some of the clusters observed in the simulations were not perfect. Instead of 12 neighbor molecules (for (C60)_{13} clusters), there were C60 molecules missing in some positions. Icosahedral structures of fullerenes were first found using photoionization time-of-flight mass spectrometry technique [62]. In addition, they have also been predicted by theoretical approaches [63-67]. Kim, Bedrov and Smith [68] carried out atomistic simulations of C60 in water with varying number of fullerene molecules. Interestingly, the clusters they observed in water are very similar to the ones observed here inside bilayers. Kim et al. [68] concluded that while for small linear clusters fullerene-fullerene van der Waals interactions dominate, hydration effects become dominant for more complex clusters. The situation here is somewhat more complex due to the lipid bilayer environment. However, as the results here and our previous free energy analysis show [25], at fullerene concentrations up to about 20%, dispersion interactions control the behavior. At higher concentrations the type of bilayer becomes a determining factor and in fully unsaturated bilayers entropy becomes a controlling factor implying that many-body effects become important. As shown in Fig. 5, that leads to the formation of icosahedral clusters. While it is difficult to fully decompose the effects of the lipid tails, this is qualitatively similar to the conclusions of Kim et al. [68]; they observed this behavior only in atomistic simulations and they reported that implicit solvent coarse-grained simulations were not able to produce the complex 3-dimensional clustering. In a recent CG-MD simulation study of Xie et al. [50] icosahedral fullerene structure was also observed in water phase and the five-fold symmetry plane orientations were random as can be expected by the rotational and translational symmetries of the water phase. In contrast, for fullerenes to be able to cluster inside a bilayer, the five-fold symmetry planes must be aligned with bilayer plane. To ensure that our observations of Mackay icosahedra were not caused by the semi-isotropic pressure coupling that is standard in bilayer simulations, we performed simulations of C60 in water using two different pressure couplings (semi-isotropic and isotropic), the details are provided in SI. In both cases, we found that the C60 molecules formed a large cluster with an icosahedral structure. Importantly, the five-fold symmetry planes were not aligned with the z-axis confirming their independence from the pressure coupling method, see Fig. S4.

3.3. Fullerene clustering in lipid bilayers

The coordination numbers of fullerenes in lipid bilayers were determined by the summation of fullerene molecules within the first shell (a cut-off of 1.3 nm). The results are shown in Table S2. The largest coordination number is at 40% fullerene concentration in the DLPC bilayer. The distributions of coordination numbers at 5% and 40% fullerene concentration are shown in Fig. S5. Fig. 6 shows the distributions of coordination numbers at 40% fullerene concentration in the DLPC and DFPC bilayers. Fig. 6a shows that the probability remained close to a constant up the coordination number of 11. On the other hand, in the case of DFPC (Fig. 6b) the behavior is qualitatively different with a peak at lower numbers of two and three. Geometrically, the coordination number of two corresponds to (linear) pairs and three to planar trigonal structures. The visualizations in Figs. 6e, f and S2 also show that the fullerenes are laterally spread in the mid-plane of the DFPC bilayer center. Unlike fullerenes in water, the fullerenes in this plane do not minimize their surface because they are located in a hydrophobic environment. This behavior was also found in other
Fig. 6. The distribution of fullerene coordination numbers within the cut-off of 1.3 nm in (a) DLPC and (b) DFPC bilayers at 40% fullerene concentration. Side and top views of the DLPC (c–d) and DFPC (e–f) bilayers at 40% fullerene concentration.

Fig. 7. Connected networks of fullerenes within 1.3 nm cut-off (connecting lines). The different clusters are shown using different colors. In DLPC, aggregation to densely packed clusters occurs whereas in DFPC, a qualitatively different a percolation-like network emerges. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)
3.4. Fullerene diffusion

We calculated diffusion coefficients using mean square displacements and Einstein’s relation. In agreement with other studies [25,27,32], fullerenes moved slower at high concentration, see Fig. 8. Interestingly, we observed clear differences between saturated and highly unsaturated lipid bilayers: fullerenes in saturated lipid bilayer move slower than in unsaturated ones. A possible explanation is the different locations of the fullerenes in these bilayers. In saturated bilayer, fullerenes located in the acyl chain region and therefore their movement is restricted. On the other hand, in unsaturated bilayers, fullerenes are located in the middle of bilayer which has more free space. This difference was very small at high concentration because the motion of fullerenes becomes limited due to crowding. Lateral fullerene diffusion in DVPC, DOPC and DLPC bilayers is quite similar because the differences in their structures are minor. This explains why in our previous work [25] did not observe differences between DPPC, POPC, and DOPC bilayers.

3.5. Effect of fullerenes on bilayers

To study the physical and mechanical properties of bilayer in the presence of fullerenes, we computed the area per lipid, bilayer thickness, and volume per lipid of the bilayer as seen in Table S3 and Fig. S6. In the absence of fullerenes or at low fullerene concentration, our results are in agreement with previous studies [23–25,29,32,50,51], as show in Fig. 9 and S6. Differences emerged when fullerene concentration was increased. In general, area per lipid, bilayer thickness, and volume per lipid increase with increasing fullerene concentration. This is also in agreement with the x-ray measurements of Drasler et al. using multilamellar POPC vesicles at varying fullereone concentrations [69]. However, the thickness of saturated bilayers decreases at low fullerene concentrations and then increases at high fullerene concentration. These changes were also observed in our previous study [25]. A possible explanation is that individual fullerenes and fullerene clusters behave differently in these situations since they started to aggregate at high concentration in saturated bilayer: (10% for DLPC, 20% for DPPC, 20% for DSPC).

To understand how fullerene aggregation changes bilayer properties, we analyzed local properties. First, we calculated the lipid tilt angles, that is the angle between the z-axis and the vector connecting the PO4 and the different tail beads as shown Fig. 10. The results show that tail orientations of DPPC and DFPC lipids are distinct. In case of DPPC at low concentrations (≤ 20%), fullerenes increase the tilt angle of C1, but angles of C2, C3, and C4 remain constant or decrease slightly (all defined using PO4). At high concentrations (> 20%) also the C2, C3, and C4 angles increase with increasing fullerene concentration.

In case of DFPC, the tilt angles increase with increasing fullerene concentration (Fig. 10). These changes explain how fullerene concentration is related to bilayer thickness. In saturated bilayer, fullerenes are located in the acyl chain region and cause a larger tilt therefore inducing a decrease in bilayer thickness. At high concentrations, fullerene aggregation changes lipid tail orientation and increases bilayer thickness because the size of aggregated fullerene is larger than the excess free volume. In DFPC, fullerenes locate in the middle of bilayer resulting in stretching of the lipid tails and creation of free space for fullerenes. We also computed the tilt modulus and now compare it to the atomistic simulations of Khelashvili et al. [70] and Doktorova et al. [71]. At zero concentration, the MARTINI model yields systematically slightly lower values but the overall behavior is qualitatively similar (Table S4 and Fig. 11). The tilt modulus as a function of fullerene concentration is given in Fig. 11 and it shows that increasing fullerene concentration has a stronger influence on the fully saturated lipids. In addition, the compressibility moduli were computed. As Fig. S7 shows, there appears to be no significant changes. The only exception is DSPC but the error bars are large. It appears that tilt modulus shows clearer trends.

In addition, we analyzed the local 2D density and thickness. Figs. 12, S8 and S9 demonstrate that local increases in bilayer thickness are directly correlated with the locations of fullerene clusters; the area per lipid increases monotonously with fullerene concentration. We would like to point out that the extensive drop in DLPC area per lipid at 40% is caused by bilayer bending. These results reveal that fullerenes’
effects depend on many factors: saturation level, double bond positions and acyl chain length. Importantly, the differences between saturated and unsaturated are clearly observable. This emphasizes the significance of lipid saturation level since fullerenes behave very differently in saturated bilayer and unsaturated bilayers.

4. Discussion

Our systematic study reveals that fullerenes behavior in lipid bilayers depends strongly on i) fullerene concentration, ii) the saturation level in terms of both positions and number of double bonds in the lipid tails and, iii) the acyl chain length. First, the fullerene concentration must be high for aggregation to occur. Second, the level of hydrocarbon chain saturation plays an important role in fullerene clustering. When increasing fullerene concentration, the differences in fullerenes’ behaviors in these bilayers becomes more pronounced. Some of the mechanisms behind these observations were already explained in our previous study [25] which discovered that the balance between entropy and enthalpy is controlled by fullerene aggregation. In saturated bilayers, the entropic component decreases faster than the enthalpic component increases, whereas, in unsaturated bilayer, the entropic component dominates the free energy. Third, acyl chain length also plays role in fullerene aggregation. It determines the amount of fullerene absorbed by bilayer before cluster formation or reaching (fullerene) saturation level. For saturated (DLPC, DPPC, DSPC) and unsaturated bilayers (DOPC, DVPC), the fullerene saturation level depends directly on acyl chain length as the fullerenes are located in the acyl chain region. For polyunsaturated bilayers (DUPC, DFPC), the longer lipid tails also mean that the lipids have higher adjustability for larger free space in bilayer center. Based on our DOPC and DVPC simulations, we found that role of position of double bond is minor.

In terms of toxicity as determined by membrane damage, we did not observe physical membrane damage or rupture during any of the simulations. However, fullerene aggregation strongly disturbs lipid bilayers resulting in bending as shown in some of the snapshots at high concentrations in Fig. S2. In addition, the biological consequences of localized aggregation (Fig. 7a) and the formation of percolation clusters (Fig. 7b) are currently not known. Moreover, there are experimental [49] and computational [27] studies that have demonstrated that fullerenes could significantly modify bilayers’ mechanical properties. In addition, an increase in cluster size in aqueous solution may induce membrane rupture as it may exceed bilayer thickness [72]. Furthermore, at a certain cluster size, lipid bilayer loses its ability to dissolve fullerene [50]. However, Kyzyma et al. [73] did viability tests using living cell cultures and found that the fullerene aggregate size in water appears not to be a key factor for toxicity. Along with this, the experimental study of Fang et al. [74] has also shown that bacteria can adjust themselves as they respond to C60s by altering their membrane lipid compositions. Recent studies also demonstrate interactions between fullerenes and proteins [75–78]. Considering all these factors, determining fullerenes’ toxicity or lack of it on living cells remains a
very complex and challenging. Finally, we would like to mention that the highest fullerene concentrations used in this study are indeed very high. It is not clear if they are experimentally reachable but even if not, it is feasible that the clustering effects observed here may occur at lower experimental concentrations depending on solubility in a given system.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

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References
